

Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Domestic Equity

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
BuyWrite			
Blue Chip Covered Call Portfolio	Advisors Asset Management	28.39%	8.81%
Capital Strength Buy-Write Portfolio	First Trust Portfolios	28.37%	8.87%
S&P Dividend Aristocrats Buy-Write Portfolio	First Trust Portfolios	19.60%	6.45%
Capital Appreciation			
Ubiquitous Strategy Portfolio - 15 Month	Advisors Asset Management	88.80%	23.93%
Technology Portfolio	Guggenheim Funds Distributors	87.38%	23.64%
Ubiquitous Opportunities Portfolio	Advisors Asset Management	87.14%	23.58%
Ubiquitous Strategy Portfolio	Advisors Asset Management	87.08%	23.57%
Technology Select Portfolio	First Trust Portfolios	82.58%	22.80%
Blue Chip Multinationals Portfolio	Guggenheim Funds Distributors	67.61%	19.32%
Small/Mid-Cap Advantage Portfolio	Advisors Asset Management	65.25%	18.81%
Cyclical Strength Portfolio	First Trust Portfolios	63.56%	18.53%
Capital Strength Portfolio	First Trust Portfolios	63.24%	18.11%
Financial Opportunities Portfolio	Advisors Asset Management	60.26%	17.51%
Defensive			
Defensive Equity Portfolio	First Trust Portfolios	30.91%	9.58%
Defensive 50 Equities Trust	Hennion & Walsh	21.04%	6.62%
Growth at a Reasonable Price			
Sabrient Small Cap Growth Portfolio	First Trust Portfolios	19.34%	6.66%
Sabrient Baker's Dozen Portfolio	First Trust Portfolios	11.44%	4.22%

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Domestic Equity

3 Year Composite Performance (9/28/2018 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income & Capital Appreciation			
Blue Chip Growth Portfolio	Guggenheim Funds Distributors	66.55%	18.80%
S&P Target 24 Portfolio	First Trust Portfolios	59.02%	17.14%
Argus Dividend Growers Total Return Trust	Hennion & Walsh	48.86%	14.46%
Large-Cap Core Portfolio	Guggenheim Funds Distributors	45.82%	13.81%
Nasdaq® Dividend Achievers 25 Portfolio	First Trust Portfolios	42.17%	12.95%
Dividend Strength Portfolio	First Trust Portfolios	40.31%	12.65%
S&P Dividend Aristocrats Select 25 Strategy Portfolio	Guggenheim Funds Distributors	39.60%	12.28%
Bahl & Gaynor Income Growth Portfolio	Advisors Asset Management	39.10%	11.80%
Target Growth Portfolio	First Trust Portfolios	38.50%	11.95%
S&P Dividend Aristocrats Target 25 Portfolio	First Trust Portfolios	37.93%	11.92%
Income - Dividend			
Select DSIP Portfolio	First Trust Portfolios	43.46%	13.09%
Dividend Sustainability Portfolio - A HIMCO Portfolio	Advisors Asset Management	34.49%	10.84%
US High Dividend Strategy Portfolio	Guggenheim Funds Distributors	26.40%	8.50%
Flaherty & Crumrine Preferred Portfolio	Guggenheim Funds Distributors	20.80%	6.32%
Preferreds Plus Trust	Hennion & Walsh	16.33%	4.90%
Preferred Income Portfolio	First Trust Portfolios	15.26%	4.63%
US 50 Dividend Strategy Portfolio	Guggenheim Funds Distributors	15.24%	5.42%
Stonebridge Preferred Income Portfolio	First Trust Portfolios	12.16%	3.61%

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3 Year Composite Performance (9/28/2018 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Low Volatility			
US Low Volatility Strategy Portfolio	Guggenheim Funds Distributors	33.75%	10.42%
Minimum Volatility Equity Income Portfolio - AHIMCO Portfolio	Advisors Asset Management	30.49%	9.56%
Low Volatility Portfolio	First Trust Portfolios	27.35%	8.55%
Value Line® Target Safety 30 Portfolio	First Trust Portfolios	20.97%	6.75%
Manager Outlook			
Top Picks Portfolio	First Trust Portfolios	36.90%	11.48%
Momentum			
Dorsey Wright Relative Strength Top 50	First Trust Portfolios	58.67%	17.09%
Socially Responsible			
Sustainable Impact Investing Trust	Hennion & Walsh	60.57%	17.44%
Thematic			
E-Commerce Opportunity Portfolio	First Trust Portfolios	97.38%	25.86%
Homebuilders Recovery Select Portfolio	First Trust Portfolios	86.61%	24.16%
Transformers Strategy Portfolio	Advisors Asset Management	85.60%	23.24%
Argus Modern Innovators Trust	Hennion & Walsh	85.46%	23.26%
Transformers Opportunities Portfolio	Advisors Asset Management	84.61%	23.02%
AI, Robotics & Technology Opportunity Portfolio	First Trust Portfolios	79.27%	21.96%
Innovative Technology Portfolio	First Trust Portfolios	75.94%	20.85%
Technological Innovation Portfolio	Guggenheim Funds Distributors	71.63%	19.95%
Cybersecurity Portfolio	First Trust Portfolios	67.77%	18.91%
Cloud Computing Portfolio	First Trust Portfolios	67.46%	18.95%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Domestic Equity

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
BuyWrite			
Blue Chip Covered Call Portfolio	Advisors Asset Management	54.72%	9.12%
Capital Strength Buy-Write Portfolio	First Trust Portfolios	48.62%	8.25%
Capital Appreciation			
Ubiquitous Opportunities Portfolio	Advisors Asset Management	208.95%	25.31%
Technology Portfolio	Guggenheim Funds Distributors	204.56%	24.95%
Ubiquitous Strategy Portfolio	Advisors Asset Management	204.35%	24.93%
Technology Select Portfolio	First Trust Portfolios	175.63%	22.48%
Blue Chip Multinationals Portfolio	Guggenheim Funds Distributors	144.90%	19.62%
Small/Mid-Cap Advantage Portfolio	Advisors Asset Management	135.39%	18.67%
Capital Strength Portfolio	First Trust Portfolios	128.03%	17.92%
Financial Opportunities Portfolio	Advisors Asset Management	126.34%	17.75%
Cyclical Strength Portfolio	First Trust Portfolios	124.35%	17.54%
Blue Chip Portfolio	Advisors Asset Management	121.92%	17.28%
Defensive			
Defensive Equity Portfolio	First Trust Portfolios	53.36%	8.93%
Defensive 50 Equities Trust	Hennion & Walsh	33.60%	5.97%
Growth at a Reasonable Price			
Sabrient Baker's Dozen Portfolio	First Trust Portfolios	46.09%	7.88%
Sabrient Small Cap Growth Portfolio	First Trust Portfolios	45.76%	7.83%

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5 Year Composite Performance (9/30/2016 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income & Capital Appreciation			
Blue Chip Growth Portfolio	Guggenheim Funds Distributors	141.39%	19.27%
S&P Target 24 Portfolio	First Trust Portfolios	132.04%	18.34%
Large-Cap Core Portfolio	Guggenheim Funds Distributors	106.19%	15.57%
Target Growth Portfolio	First Trust Portfolios	99.77%	14.84%
Dividend Strength Portfolio	First Trust Portfolios	92.92%	14.05%
Argus Dividend Growers Total Return Trust	Hennion & Walsh	91.65%	13.89%
Target High Quality Dividend Portfolio	First Trust Portfolios	90.10%	13.71%
Nasdaq® Dividend Achievers 25 Portfolio	First Trust Portfolios	90.09%	13.71%
Diversified Dividend & Income Portfolio	Guggenheim Funds Distributors	87.31%	13.37%
Income Dividend Equity Allocation (IDEA) Portfolio	First Trust Portfolios	82.38%	12.77%
Income - Dividend			
Select DSIP Portfolio	First Trust Portfolios	83.11%	12.86%
Dividend Sustainability Portfolio - A HIMCO Portfolio	Advisors Asset Management	79.15%	12.37%
US High Dividend Strategy Portfolio	Guggenheim Funds Distributors	53.88%	9.00%
US 50 Dividend Strategy Portfolio	Guggenheim Funds Distributors	35.11%	6.20%
Flaherty & Crumrine Preferred Portfolio	Guggenheim Funds Distributors	23.59%	4.33%
Preferred Income Portfolio	First Trust Portfolios	13.28%	2.53%
Preferreds Plus Trust	Hennion & Walsh	12.53%	2.39%
Stonebridge Preferred Income Portfolio	First Trust Portfolios	10.90%	2.09%
Low Volatility			
US Low Volatility Strategy Portfolio	Guggenheim Funds Distributors	65.23%	10.57%
Minimum Volatility Equity Income Portfolio - A HIMCO Portfolio	Advisors Asset Management	58.53%	9.65%
Low Volatility Portfolio	First Trust Portfolios	53.78%	8.99%

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5 Year Composite Performance (9/30/2016 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Manager Outlook			
Top Picks Portfolio	First Trust Portfolios	102.43%	15.15%
Momentum			
Dorsey Wright Relative Strength Top 50	First Trust Portfolios	120.72%	17.16%
Socially Responsible			
Sustainable Impact Investing Trust	Hennion & Walsh	114.93%	16.54%
Thematic			
Cloud Computing Portfolio	First Trust Portfolios	195.63%	24.21%
Technological Innovation Portfolio	Guggenheim Funds Distributors	189.50%	23.69%
Innovative Technology Portfolio	First Trust Portfolios	174.92%	22.42%
Content Leaders Opportunity Portfolio	First Trust Portfolios	155.85%	20.67%
Content Leaders Portfolio	First Trust Portfolios	154.83%	20.57%
Cybersecurity Portfolio	First Trust Portfolios	134.43%	18.58%
Homebuilders Recovery Select Portfolio	First Trust Portfolios	113.56%	16.39%
Water Utility & Infrastructure Select Portfolio	First Trust Portfolios	108.00%	15.77%
U.S. Revenue Portfolio	First Trust Portfolios	88.70%	13.54%
Aerospace & Defense Portfolio	First Trust Portfolios	83.76%	12.94%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Domestic Equity

10 Year Composite Performance (9/30/2011 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Technology Portfolio	Guggenheim Funds Distributors	540.73%	20.41%
Technology Select Portfolio	First Trust Portfolios	476.55%	19.15%
Health Care Opportunities Portfolio	Advisors Asset Management	355.82%	16.38%
Health Care Select Portfolio	First Trust Portfolios	330.64%	15.72%
Capital Strength Portfolio	First Trust Portfolios	328.53%	15.66%
SMid Capital Strength Portfolio	First Trust Portfolios	285.19%	14.44%
Blue Chip Portfolio	Advisors Asset Management	284.59%	14.42%
Strategic Foundations of Growth Portfolio	Advisors Asset Management	275.35%	14.14%
Angels Portfolio	Advisors Asset Management	270.99%	14.01%
Banking Opportunity Portfolio	First Trust Portfolios	266.85%	13.88%
Defensive			
Defensive Equity Portfolio	First Trust Portfolios	214.57%	12.14%
Income & Capital Appreciation			
S&P Target 24 Portfolio	First Trust Portfolios	371.51%	16.77%
Large-Cap Core Portfolio	Guggenheim Funds Distributors	293.68%	14.69%
The Dividend Income Value Strategy Portfolio	Advisors Asset Management	269.67%	13.97%
Diversified Dividend & Income Portfolio	Guggenheim Funds Distributors	265.04%	13.82%
Dividend Strength Portfolio	First Trust Portfolios	264.96%	13.82%
Target Growth Portfolio	First Trust Portfolios	259.24%	13.64%
Dividend Strength Portfolio	Guggenheim Funds Distributors	240.17%	13.02%
Target VIP Portfolio	First Trust Portfolios	223.22%	12.45%
SMid High Dividend Portfolio	First Trust Portfolios	218.75%	12.29%
Diversified Equity Strategic Allocation Portfolio	First Trust Portfolios	217.80%	12.26%

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Domestic Equity

10 Year Composite Performance (9/30/2011 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income - Dividend			
Select DSIP Portfolio	First Trust Portfolios	247.43%	13.26%
US High Dividend Strategy Portfolio	Guggenheim Funds Distributors	207.06%	11.87%
Flaherty & Crumrine Preferred Portfolio	Guggenheim Funds Distributors	62.69%	4.99%
Preferred Income Portfolio	First Trust Portfolios	39.33%	3.37%
Manager Outlook			
Top Picks Portfolio	First Trust Portfolios	375.74%	16.88%
Momentum			
Dorsey Wright Relative Strength Top 50	First Trust Portfolios	366.20%	16.64%
Thematic			
Cloud Computing Portfolio	First Trust Portfolios	411.83%	17.74%
Water Utility & Infrastructure Select Portfolio	First Trust Portfolios	300.62%	14.89%
Agri-Business Opportunities Portfolio	Advisors Asset Management	185.63%	11.07%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Global Equity

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Global Technology Portfolio	Advisors Asset Management	108.94%	28.26%
Market Strength Allocation Select Portfolio	First Trust Portfolios	49.68%	14.88%
Financials Select Portfolio	First Trust Portfolios	48.33%	14.54%
Market Strength Allocation Opportunity Portfolio	First Trust Portfolios	43.91%	13.37%
Dow Jones Global Titans Portfolio	First Trust Portfolios	38.88%	11.84%
Global Commodities Opportunity Portfolio	First Trust Portfolios	22.22%	7.31%
Energy Select Portfolio	First Trust Portfolios	-22.51%	-8.00%
Energy Opportunities Portfolio	Advisors Asset Management	-27.29%	-9.89%
Income & Capital Appreciation			
Technology Dividend Portfolio	First Trust Portfolios	88.65%	24.27%
Global Basic Materials Portfolio	Advisors Asset Management	32.41%	10.59%
Target Dividend Blend Portfolio	First Trust Portfolios	20.42%	6.83%
Global Dividend Portfolio	First Trust Portfolios	19.06%	6.25%
Global Dividend Strategy Portfolio - AHIMCO Portfolio	Advisors Asset Management	15.99%	5.43%
High Dividend Equity Allocation Portfolio	First Trust Portfolios	15.27%	5.23%
Strategic High 80 Dividend Portfolio	Advisors Asset Management	13.92%	4.88%
Richard Bernstein Advisors Global Dividend Kings®	First Trust Portfolios	11.99%	4.11%
Target Global Dividend Leaders Portfolio	First Trust Portfolios	9.25%	3.35%
Socially Responsible			
Next Generation ESG Strategy Portfolio - AHIMCO Portfolio	Advisors Asset Management	49.52%	14.79%

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By Investment Strategy Goal

Global Equity

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Global Technology Portfolio	Advisors Asset Management	233.70%	27.25%
Financials Select Portfolio	First Trust Portfolios	98.98%	14.75%
Market Strength Allocation Select Portfolio	First Trust Portfolios	94.28%	14.20%
Dow Jones Global Titans Portfolio	First Trust Portfolios	68.93%	11.06%
Global Commodities Opportunity Portfolio	First Trust Portfolios	55.19%	9.19%
Energy Select Portfolio	First Trust Portfolios	-9.57%	-1.99%
Energy Opportunities Portfolio	Advisors Asset Management	-12.29%	-2.59%
Income & Capital Appreciation			
Technology Dividend Portfolio	First Trust Portfolios	179.07%	22.78%
Global Basic Materials Portfolio	Advisors Asset Management	64.19%	10.43%
Target Dividend Blend Portfolio	First Trust Portfolios	54.22%	9.05%
High Dividend Equity Allocation Portfolio	First Trust Portfolios	40.68%	7.07%
Global Dividend Strategy Portfolio - AHIMCO Portfolio	Advisors Asset Management	39.92%	6.95%
Global Dividend Portfolio	First Trust Portfolios	38.70%	6.76%
Strategic High 80 Dividend Portfolio	Advisors Asset Management	32.33%	5.76%
Target Global Dividend Leaders Portfolio	First Trust Portfolios	24.76%	4.52%
Richard Bernstein Advisors Global Dividend Kings®	First Trust Portfolios	23.16%	4.25%

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Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Global Technology Portfolio	Advisors Asset Management	590.49%	21.32%
Financials Select Portfolio	First Trust Portfolios	296.39%	14.77%
Market Strength Allocation Select Portfolio	First Trust Portfolios	262.86%	13.76%
Global Commodities Opportunity Portfolio	First Trust Portfolios	26.99%	2.42%
Energy Select Portfolio	First Trust Portfolios	3.48%	0.34%
Energy Opportunities Portfolio	Advisors Asset Management	-16.13%	-1.74%
Income & Capital Appreciation			
Global Basic Materials Portfolio	Advisors Asset Management	138.23%	9.07%
Global Dividend Portfolio	First Trust Portfolios	99.09%	7.13%
Strategic High 80 Dividend Portfolio	Advisors Asset Management	89.66%	6.61%
Target Global Dividend Leaders Portfolio	First Trust Portfolios	76.71%	5.86%

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Top UIT Investment Strategies

As of 9/30/2021

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Foreign Equity

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
International Capital Strength Portfolio	First Trust Portfolios	49.59%	14.71%
European Capital Strength Portfolio	Guggenheim Funds Distributors	35.07%	10.71%
BRIC Advantage Portfolio	Advisors Asset Management	30.27%	8.95%
Strategic International Opportunity Portfolio	First Trust Portfolios	25.16%	8.17%
Emerging Markets Strength Portfolio	First Trust Portfolios	17.55%	5.34%
Todd International Intrinsic Value Portfolio	Advisors Asset Management	14.50%	4.84%
Income & Capital Appreciation			
European Select Portfolio - A C J L LLC Portfolio	Advisors Asset Management	21.98%	7.26%
International High Dividend Equity Portfolio	First Trust Portfolios	13.38%	4.43%
S&P International Dividend Aristocrats Portfolio	First Trust Portfolios	11.15%	3.38%
International High 30 Dividend Portfolio	Advisors Asset Management	10.27%	3.56%
European Deep Value Dividend Portfolio	First Trust Portfolios	9.02%	3.12%
Emerging Markets Dividend Strategy Portfolio	Guggenheim Funds Distributors	7.58%	2.40%
International Dividend Strategy Portfolio	Guggenheim Funds Distributors	4.57%	1.67%
Income - Dividend			
Emerging Markets Dividend Portfolio	Advisors Asset Management	20.71%	6.22%

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Foreign Equity

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
International Capital Strength Portfolio	First Trust Portfolios	78.85%	12.33%
BRIC Advantage Portfolio	Advisors Asset Management	78.56%	12.29%
European Capital Strength Portfolio	Guggenheim Funds Distributors	59.24%	9.75%
Emerging Markets Strength Portfolio	First Trust Portfolios	18.89%	3.52%
Income & Capital Appreciation			
European Select Portfolio - ACJL LLC Portfolio	Advisors Asset Management	39.22%	6.84%
European Deep Value Dividend Portfolio	First Trust Portfolios	34.33%	6.08%
International Dividend Strategy Portfolio	Guggenheim Funds Distributors	29.66%	5.33%
International High 30 Dividend Portfolio	Advisors Asset Management	28.16%	5.09%
International High Dividend Equity Portfolio	First Trust Portfolios	28.04%	5.07%
Emerging Markets Dividend Strategy Portfolio	Guggenheim Funds Distributors	20.72%	3.84%
Income - Dividend			
Emerging Markets Dividend Portfolio	Advisors Asset Management	34.76%	6.15%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Foreign Equity

10 Year Composite Performance (9/30/2011 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
International Capital Strength Portfolio	First Trust Portfolios	203.60%	11.75%
BRIC Advantage Portfolio	Advisors Asset Management	140.98%	9.19%
Emerging Markets Strength Portfolio	First Trust Portfolios	76.53%	5.85%
Income & Capital Appreciation			
International High Dividend Equity Portfolio	First Trust Portfolios	49.49%	4.10%
International Dividend Strategy Portfolio	Guggenheim Funds Distributors	41.04%	3.50%
International High 30 Dividend Portfolio	Advisors Asset Management	30.77%	2.72%

Fund of Funds - ETFs

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Aggressive Income			
FT High Income Model Portfolio	First Trust Portfolios	6.82%	2.24%
Capital Appreciation			
FT Equity Allocation ETF Model Portfolio	First Trust Portfolios	27.48%	8.79%
Income & Capital Appreciation			
ETF Growth and Income Portfolio	First Trust Portfolios	29.88%	9.43%
Limited Duration Fixed Income ETF Portfolio	First Trust Portfolios	9.26%	2.97%
Income - Interest			
Diversified Credit Portfolio of ETFs	Guggenheim Funds Distributors	13.57%	4.34%
Diversified Fixed Income ETF Portfolio	First Trust Portfolios	10.84%	3.51%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - ETFs

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
FT Equity Allocation ETF Model Portfolio	First Trust Portfolios	71.45%	11.39%
Income & Capital Appreciation			
ETF Growth and Income Portfolio	First Trust Portfolios	61.24%	10.03%
Limited Duration Fixed Income ETF Portfolio	First Trust Portfolios	10.80%	2.07%
Income - Interest			
Diversified Credit Portfolio of ETFs	Guggenheim Funds Distributors	17.84%	3.34%
Diversified Fixed Income ETF Portfolio	First Trust Portfolios	9.47%	1.83%

Fund of Funds - ETFs

10 Year Composite Performance (9/30/2011 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income & Capital Appreciation			
ETF Growth and Income Portfolio	First Trust Portfolios	153.32%	9.74%
Income - Interest			
Diversified Fixed Income ETF Portfolio	First Trust Portfolios	25.59%	2.31%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Aggressive Income			
Business Development Company Opportunities Portfolio	Advisors Asset Management	25.42%	7.81%
CEFA Select BDC Trust	Hennion & Walsh	25.39%	7.85%
Corporate High Yield & Income Portfolio of CEFs	Guggenheim Funds Distributors	25.00%	7.60%
High-Yield Income Closed-End Portfolio	First Trust Portfolios	24.26%	7.43%
Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio	Advisors Asset Management	18.78%	5.95%
Tactical Plus Closed-End Portfolio - 15 Month	Advisors Asset Management	18.68%	6.10%
Senior Loan & Limited Duration Closed-End Portfolio	First Trust Portfolios	17.09%	5.33%
Strategic Income Advantage Select, Closed-End Portfolio	First Trust Portfolios	16.62%	5.33%
Senior Loan & Income Portfolio of CEFs	Guggenheim Funds Distributors	16.54%	5.29%
Diversified High Income Closed-End Portfolio	First Trust Portfolios	15.72%	4.97%
Income & Capital Appreciation			
Cohen & Steers Convertible and Income Closed-End Portfolio	Advisors Asset Management	49.30%	14.60%
Convertible & Income Portfolio of Funds	Guggenheim Funds Distributors	46.09%	13.77%
Equity & Income Portfolio of CEFs	Guggenheim Funds Distributors	29.91%	9.33%
Strategic Income Portfolio	Guggenheim Funds Distributors	23.33%	7.29%
Strategic Income Select Closed-End Portfolio	First Trust Portfolios	21.24%	6.60%
Cohen & Steers Equity Dividend & Income Closed-End Portfolio	Advisors Asset Management	20.20%	6.50%
Core Three Closed-End Allocation Portfolio	First Trust Portfolios	19.23%	6.10%
Diversified Income Wave Portfolio	Guggenheim Funds Distributors	18.90%	6.04%
Tactical Income Closed-End Portfolio	Advisors Asset Management	18.88%	6.13%
Dividend & Income Select Closed-End Portfolio	First Trust Portfolios	18.68%	6.01%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

3 Year Composite Performance (9/28/2018 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income - Interest			
Cohen & Steers California Municipal Closed-End Portfolio	Advisors Asset Management	31.55%	9.40%
California Municipal Portfolio of CEFs	Guggenheim Funds Distributors	28.53%	8.54%
California Municipal Income Select Closed-End Portfolio	First Trust Portfolios	27.76%	8.35%
National Municipal Portfolio of CEFs	Guggenheim Funds Distributors	27.06%	8.48%
New York Municipal Portfolio of CEFs	Guggenheim Funds Distributors	26.43%	8.14%
California Municipal Portfolio of Closed-End Funds Trust	Hennion & Walsh	26.43%	7.99%
New York Municipal Portfolio of Closed-End Funds Trust	Hennion & Walsh	25.21%	7.82%
Municipal Income Select, Closed-End Portfolio	First Trust Portfolios	25.08%	7.89%
New York Municipal Income Select Closed-End Portfolio	First Trust Portfolios	23.57%	7.28%
Municipal Income Opportunities Closed-End Portfolio	First Trust Portfolios	22.02%	7.03%
Low Valuation/Discount			
Discount Opportunity Strategy Portfolio of CEFs	Guggenheim Funds Distributors	24.30%	7.63%
Volatility Hedged			
Cohen & Steers Covered Call & Income Strategies Closed-End Portfolio	Advisors Asset Management	27.17%	8.53%
Covered Call & Income Portfolio of CEFs	Guggenheim Funds Distributors	24.30%	7.89%
Covered Call Select Closed-End Portfolio	First Trust Portfolios	19.10%	6.25%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Aggressive Income			
Corporate High Yield & Income Portfolio of CEFs	Guggenheim Funds Distributors	42.18%	7.29%
Tactical Plus Closed-End Portfolio - 15 Month	Advisors Asset Management	41.06%	7.12%
Business Development Company Opportunities Portfolio	Advisors Asset Management	39.49%	6.88%
CEFA Select BDC Trust	Hennion & Walsh	35.08%	6.20%
Strategic Income Advantage Select, Closed-End Portfolio	First Trust Portfolios	29.42%	5.29%
Diversified High Income Closed-End Portfolio	First Trust Portfolios	28.95%	5.22%
High-Yield Income Closed-End Portfolio	First Trust Portfolios	28.63%	5.16%
Senior Loan & Income Portfolio of CEFs	Guggenheim Funds Distributors	28.21%	5.10%
Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio	Advisors Asset Management	27.52%	4.98%
Senior Loan & Limited Duration Closed-End Portfolio	First Trust Portfolios	23.98%	4.39%
Income & Capital Appreciation			
Convertible & Income Portfolio of Funds	Guggenheim Funds Distributors	91.84%	13.92%
Cohen & Steers Convertible and Income Closed-End Portfolio	Advisors Asset Management	88.98%	13.58%
Equity & Income Portfolio of CEFs	Guggenheim Funds Distributors	69.00%	11.07%
Cohen & Steers Equity Dividend & Income Closed-End Portfolio	Advisors Asset Management	49.00%	8.30%
Strategic Income Portfolio	Guggenheim Funds Distributors	45.52%	7.79%
Diversified Income Wave Portfolio	Guggenheim Funds Distributors	40.17%	6.99%
Tactical Income Closed-End Portfolio	Advisors Asset Management	39.50%	6.88%
U.S. Equity Closed-End Portfolio	First Trust Portfolios	36.88%	6.48%
Dividend & Income Select Closed-End Portfolio	First Trust Portfolios	36.84%	6.47%
Equity Closed-End Portfolio	First Trust Portfolios	35.42%	6.25%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

5 Year Composite Performance (9/30/2016 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income - Interest			
Income & Treasury Limited Duration Portfolio of Funds	Guggenheim Funds Distributors	24.79%	4.53%
National Municipal Portfolio of CEFs	Guggenheim Funds Distributors	15.03%	2.84%
Municipal Income Select, Closed-End Portfolio	First Trust Portfolios	11.63%	2.23%
Cohen & Steers California Municipal Closed-End Portfolio	Advisors Asset Management	11.13%	2.13%
New York Municipal Portfolio of CEFs	Guggenheim Funds Distributors	9.96%	1.92%
California Municipal Portfolio of CEFs	Guggenheim Funds Distributors	9.22%	1.78%
Municipal Income Opportunities Closed-End Portfolio	First Trust Portfolios	8.27%	1.60%
California Municipal Income Select Closed-End Portfolio	First Trust Portfolios	6.85%	1.33%
New York Municipal Income Select Closed-End Portfolio	First Trust Portfolios	5.73%	1.12%
Tax Free Income Trust	Hennion & Walsh	4.30%	0.85%
Low Valuation/Discount			
Discount Opportunity Strategy Portfolio of CEFs	Guggenheim Funds Distributors	39.72%	6.92%
Volatility Hedged			
Covered Call & Income Portfolio of CEFs	Guggenheim Funds Distributors	59.74%	9.82%
Covered Call Select Closed-End Portfolio	First Trust Portfolios	43.44%	7.48%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

10 Year Composite Performance (9/30/2011 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Aggressive Income			
Business Development Company Opportunities Portfolio	Advisors Asset Management	101.08%	7.24%
Corporate High Yield & Income Portfolio of CEFs	Guggenheim Funds Distributors	94.59%	6.88%
Senior Loan & Income Portfolio of CEFs	Guggenheim Funds Distributors	76.53%	5.85%
Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio	Advisors Asset Management	71.97%	5.57%
Diversified High Income Closed-End Portfolio	First Trust Portfolios	69.39%	5.41%
Strategic Income Advantage Select, Closed-End Portfolio	First Trust Portfolios	55.99%	4.55%
Income Allocation Closed-End Portfolio	First Trust Portfolios	51.52%	4.24%
Senior Loan & Limited Duration Closed-End Portfolio	First Trust Portfolios	51.05%	4.21%
High-Yield Income Closed-End Portfolio	First Trust Portfolios	50.19%	4.15%
Senior Loan & Limited Duration Opportunities Closed-End Portfolio	First Trust Portfolios	42.96%	3.64%
Income & Capital Appreciation			
Convertible & Income Portfolio of Funds	Guggenheim Funds Distributors	174.97%	10.64%
Equity & Income Portfolio of CEFs	Guggenheim Funds Distributors	173.90%	10.60%
Cohen & Steers Equity Dividend & Income Closed-End Portfolio	Advisors Asset Management	126.23%	8.51%
Tactical Income Closed-End Portfolio	Advisors Asset Management	111.79%	7.79%
Strategic Income Portfolio	Guggenheim Funds Distributors	107.26%	7.56%
Diversified Income Wave Portfolio	Guggenheim Funds Distributors	95.18%	6.92%
Dividend & Income Select Closed-End Portfolio	First Trust Portfolios	88.17%	6.53%
Equity Closed-End Portfolio	First Trust Portfolios	77.97%	5.93%
Strategic Income Select Closed-End Portfolio	First Trust Portfolios	65.62%	5.17%
Global Equity Income Closed-End Portfolio	First Trust Portfolios	49.99%	4.14%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Fund of Funds - CEFs

10 Year Composite Performance (9/30/2011 - 9/30/2021) (Continued)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Income - Interest			
Cohen & Steers California Municipal Closed-End Portfolio	Advisors Asset Management	63.69%	5.05%
California Municipal Portfolio of CEFs	Guggenheim Funds Distributors	61.96%	4.94%
National Municipal Portfolio of CEFs	Guggenheim Funds Distributors	61.74%	4.93%
Income & Treasury Limited Duration Portfolio of Funds	Guggenheim Funds Distributors	59.82%	4.80%
New York Municipal Portfolio of CEFs	Guggenheim Funds Distributors	47.14%	3.94%
Municipal Income Select, Closed-End Portfolio	First Trust Portfolios	44.49%	3.75%
California Municipal Income Select Closed-End Portfolio	First Trust Portfolios	44.12%	3.72%
Municipal Income Opportunities Closed-End Portfolio	First Trust Portfolios	35.75%	3.10%
Tax Free Income Trust	Hennion & Walsh	24.77%	2.24%
New York Municipal Income Select Closed-End Portfolio	First Trust Portfolios	23.33%	2.12%
Volatility Hedged			
Covered Call & Income Portfolio of CEFs	Guggenheim Funds Distributors	158.39%	9.96%
Covered Call Select Closed-End Portfolio	First Trust Portfolios	96.80%	7.00%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Multi-Asset Allocation

3 Year Composite Performance (9/28/2018 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Precious Metals Select Portfolio	First Trust Portfolios	76.47%	20.52%
NDR Precious Metals & Miners Portfolio	Guggenheim Funds Distributors	43.47%	12.64%
Income & Capital Appreciation			
Growth & Income Opportunity Portfolio	First Trust Portfolios	29.10%	9.30%
60/40 Asset Allocation Portfolio	Advisors Asset Management	28.55%	8.94%
Core Four 60/40 Retirement Portfolio	Guggenheim Funds Distributors	26.61%	8.36%
75/25 Strategic Allocation Portfolio	First Trust Portfolios	26.22%	8.39%
Dividend Growers and Tax-Advantaged Income Portfolio	First Trust Portfolios	25.26%	8.09%
Floating Rate & Dividend Growth Portfolio	Guggenheim Funds Distributors	24.39%	7.76%
Balanced Portfolio	Advisors Asset Management	23.51%	7.71%
Balanced Income Select Portfolio	First Trust Portfolios	23.49%	7.49%
60/40 Strategic Allocation Portfolio	First Trust Portfolios	23.41%	7.52%
Strategic Dividend Select Portfolio	First Trust Portfolios	20.77%	6.86%
Income - Interest			
Municipal Advantage Closed-End and ETF Portfolio	First Trust Portfolios	16.50%	5.10%
Senior Loan Closed-End and ETF Portfolio	First Trust Portfolios	8.42%	2.76%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Multi-Asset Allocation

5 Year Composite Performance (9/30/2016 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Precious Metals Select Portfolio	First Trust Portfolios	17.91%	3.35%
NDR Precious Metals & Miners Portfolio	Guggenheim Funds Distributors	2.62%	0.52%
Income & Capital Appreciation			
Growth & Income Opportunity Portfolio	First Trust Portfolios	56.25%	9.34%
75/25 Strategic Allocation Portfolio	First Trust Portfolios	52.96%	8.87%
Strategic Dividend Select Portfolio	First Trust Portfolios	50.10%	8.46%
Floating Rate & Dividend Growth Portfolio	Guggenheim Funds Distributors	46.38%	7.92%
Balanced Income Select Portfolio	First Trust Portfolios	44.91%	7.70%
60/40 Strategic Allocation Portfolio	First Trust Portfolios	44.03%	7.57%
Balanced Portfolio	Advisors Asset Management	43.21%	7.45%
Balanced Income Equity and ETF Portfolio	First Trust Portfolios	41.45%	7.18%
Senior Loan and Dividend Growers Portfolio	First Trust Portfolios	40.94%	7.10%
Dividend Growers and Tax-Advantaged Income Portfolio	First Trust Portfolios	39.14%	6.83%
Income - Interest			
Senior Loan Closed-End and ETF Portfolio	First Trust Portfolios	13.01%	2.48%
Municipal Advantage Closed-End and ETF Portfolio	First Trust Portfolios	9.36%	1.81%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Multi-Asset Allocation

10 Year Composite Performance (9/30/2011 - 9/30/2021)

Strategy Name	Sponsor	Strategy Cumulative Return	Strategy CAGR
Capital Appreciation			
Precious Metals Select Portfolio	First Trust Portfolios	-47.24%	-6.19%
Income & Capital Appreciation			
75/25 Strategic Allocation Portfolio	First Trust Portfolios	155.86%	9.85%
Strategic Dividend Select Portfolio	First Trust Portfolios	139.95%	9.15%
Balanced Portfolio	Advisors Asset Management	131.54%	8.76%
60/40 Strategic Allocation Portfolio	First Trust Portfolios	123.76%	8.39%
Balanced Income Select Portfolio	First Trust Portfolios	113.79%	7.89%
Interest Rate Hedge Portfolio	First Trust Portfolios	83.16%	6.24%
Tactical Income Portfolio	First Trust Portfolios	78.97%	5.99%
Strategic Growth & Income Trust	Hennion & Walsh	36.73%	3.18%
Inflation Hedge Portfolio	First Trust Portfolios	15.22%	1.43%
MLP Closed-End Fund and Energy Portfolio	First Trust Portfolios	-21.78%	-2.43%
Income - Interest			
Municipal Advantage Closed-End and ETF Portfolio	First Trust Portfolios	29.64%	2.63%

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Top UIT Investment Strategies

As of 9/30/2021

By Investment Strategy Goal

Disclosure

Data Sources: First Trust Portfolios, Guggenheim Investments, Advisors Asset Management, Hennion & Walsh.

Cumulative returns of the unit investment trust strategy are based on distributions received in cash and recognized on the ex-dividend date during the life of the unit investment trust. Historical strategy performance is derived by utilizing the average daily performance of the outstanding series of a UIT strategy. Returns are calculated excluding the Transactional Sales Charges for each unit investment trust series but does reflect the Creation & Development Fee and trust operating expenses as incurred for each unit investment trust series included in the calculation. The returns do not adjust for taxes. If adjusted or taxes, the effects of taxation would reduce the performance depicted.

Past performance is no indicator of future results. Investment return and principal value will fluctuate with changes in market conditions. We make no assurance that any investment product based on an index will accurately track index performance or provide positive investment returns. An investment in units of a unit investment trust and continuing the investment in units of subsequent series of unit investment trust strategy, when redeemed may be worth more or less than their original investment.

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All investments carry a certain degree of risk and there is no assurance that an investment will provide positive performance over any time period. Past performance is not indicative of future results and should never be relied upon in making an investment decision or recommendation.

Investors should consider the investment objectives, risks, charges and expenses of the unit investment trust(s) carefully before investing. The prospectus contains this and other information about the unit investment trust(s). This may not contain a complete discussion of investment terms or risks and you should only rely on the information contained in relevant prospectus and/or offering documentation prior to purchasing an investment product or making a recommendation to a customer. To obtain a prospectus, investors should contact their financial advisor. Please read the prospectus carefully before investing.